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<b>(21) International Application Number:</b> PCT/US96/13759 <b>(22) International Filing Date:</b> 27 August 1996 (27.08.96)  <b>(30) Priority Data:</b> 08/522,882 1 September 1995 (01.09.95) US  <b>(71) Applicant:</b> EASTMAN CHEMICAL COMPANY [US/US]; 100 North Eastman Road, Kingsport, TN 37660 (US).  <b>(72) Inventor:</b> MINNICK, Larry, Allen; 410 Sycamore Drive, Bluff City, TN 37618 (US).  <b>(74) Agent:</b> HARDING, Karen, A.; P.O. Box 511, Kingsport, TN 37662-5075 (US).		<b>(81) Designated States:</b> CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> PET COPOLYESTERS CONTAINING SUCCINIC AND NAPHTHALENEDICARBOXYLIC ACID MOIETIES HAVING IMPROVED BARRIER PROPERTIES		
<b>(57) Abstract</b>  Disclosed are terpolymers having improved barrier properties and tensile strength relative to PET comprising copolyesters derived from acid components comprising 45 to 85 mol % terephthalic acid; 10 to 40 mol % of at least one naphthalenedicarboxylic acid and 5 to 15 mol % of at least one aliphatic dicarboxylic acid having 1 to 6 carbon atoms and glycol component comprising ethylene glycol. The copolyesters of the present invention may be formed into a variety of articles such as blood tubes, serum vials, containers, films and sheeting.		

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PET COPOLYESTERS CONTAINING SUCCINIC AND  
NAPHTHALENEDICARBOXYLIC ACID MOIETIES  
HAVING IMPROVED BARRIER PROPERTIES

5        PET is currently useful for the fabrication of  
injection molded vacuum blood tubes. PET has good gas  
barrier properties and as a result blood tubes prepared  
from the resin have adequate retention of vacuum for  
selected applications. However, improved gas barrier  
10       properties are desirable to extend the shelf life of  
these tubes in selected applications. Copolyesters of  
polyethylene terephthalate (PET),  
naphthalenedicarboxylic acid and at least one aliphatic  
dicarboxylic acid have been found to possess improved  
15       gas barrier properties relative to PET. Surprisingly  
these copolyesters also maintain the heat resistance and  
impact properties of PET.

Background of the Invention

20       Blood tubes for the medical industry have  
traditionally been prepared from glass. In recent  
years, the possibility of infectious disease being  
spread by contact with blood from broken tubes has  
caused the medical industry to increasingly depend on  
25       plastic tubes. Tubes are now being prepared from  
injection molded resins such as PET. These tubes are  
prepared and maintained under reduced pressure to allow  
for a convenient method for the sampling of blood.  
Because of the need to maintain reduced pressure in  
30       these tubes, there is a need for resins that will  
provide improved barrier properties relative to PET and  
therefore, give the extended shelf-life needed in  
selected applications.

35       Poly(ethylene-2,6-naphthalenedicarboxylate) (PEN)  
displays improved barrier properties relative to PET.

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However, this resin is quite expensive and due to the increased melting point and melt viscosity requires very high processing temperatures relative to PET.

U.S. Patent 4,401,805 describes PET copolyesters containing 1-45 mol % of aliphatic dicarboxylic acids containing 3 to 8 carbon atoms which are reported to have good barrier properties. However, the addition of only aliphatic dicarboxylic acids lowers the heat resistance properties of the resultant polyester relative to those of PET. The addition of aromatic dicarboxylic acids other than terephthalic acid is not disclosed.

Research Disclosure No. 36009 (April, 1994) describes PET copolyesters containing either 10-50 mol % isophthalic acid, or 10-30 mol % of either succinic acid, glutaric acid, adipic acid, or mixtures of these aliphatic acids. These copolyesters are reported to be useful for the preparation of blood tubes.

Research Disclosure No. 36903 (January, 1995) discloses PET copolyesters containing glutarate, succinate, adipate or mixtures thereof that are reported to have improved shelf-life in blood tubes. Terpolymers of PET, isophthalic and naphthalenedicarboxylic acids are also disclosed.

Research Disclosure No. 29484 (October, 1988) discloses various PEN copolyesters.

#### Description of the Invention

The present invention provides novel copolyesters derived from acid components comprising 45 to 85 mol % terephthalic acid; 10 to 40 mol % of at least one naphthalenedicarboxylic acid and 5 to 15 mol % of at least one aliphatic dicarboxylic acid having 2 to 8 carbon atoms and glycol component comprising ethylene glycol. Injection molded, blow molded and extruded

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articles made therefrom are also disclosed. Preferably said copolyesters comprise 60 to 75 mole % terephthalic acid; 20 to 30 mole % of at least one naphthalenedicarboxylic acid and 5 to 10 mole % of said at least one aliphatic dicarboxylic acid.

The naphthalenedicarboxylic acid isomer is selected from 1,4-, 1,5-, 2,6-, 2,7-, 1,2-, 1,3-, 1,7-, 1,8-, 2,3-, 2,4-, 2,5-, and 2,8-naphthalenedicarboxylic acid isomers. Mixtures of the various isomers may also be used. The isomer(s) chosen may be added to the reaction as either an acid or an ester. Preferably, the naphthalenedicarboxylic acid is 2,6-naphthalenedicarboxylic acid isomer.

The aliphatic dicarboxylic acid is preferably selected from oxalic, succinic, malonic, glutaric, adipic, 1,4-cyclohexanedicarboxylic acid and the like. More preferably the aliphatic dicarboxylic acid is selected from succinic, glutaric and adipic acid, and most preferably is succinic acid.

The copolyesters are readily prepared by either batch or continuous polycondensation processes well known to those skilled in the art. The dicarboxylic acid moieties may be derived from the acids or their lower alkyl esters, such as the dimethyl esters. Useful copolyesters will have inherent viscosity (IV) values of 0.4 to 1.1.

Typical catalysts which may be used in the polymerization of these copolyesters include the titanium alkoxides, dibutyl tin laurate, combinations of zinc, manganese, or magnesium acetates or benzoates with antimony oxide or antimony triacetate.

In general, up to 20 mol % of other aliphatic and aromatic diols can be used to prepare the polyesters as long as 80 mol % is ethylene glycol. Examples of such diols include propylene glycol; diethylene glycol;

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1,2-propylene glycol; 2,4-dimethyl-2-ethyl-hexane-  
1,3-diol; 2,2,4-trimethyl-1,3-pentanediol; 2,2-dimethyl-  
1,3-propanediol; 2-ethyl-2-butyl-1,3-propanediol;  
2,2-diethyl-1,3-propanediol; 2-methyl-2-propyl-  
5 1,3-propanediol; 2-ethyl-2-isobutyl-1,3-propanediol;  
1,3-butanediol; 1,4-butanediol; 1,5-pentanediol;  
1,6-hexanediol; 2,2,4-trimethyl-1,6-hexanediol,  
1,2-cyclohexanedimethanol; 1,3-cyclohexanedimethanol;  
2,2,4,4-tetramethyl-1,3-cyclobutanediol; o-, m-, and  
10 p-xylylene diols; 4,4'-sulfonyldiphenol;  
4,4'-oxydiphenol; 4,4'-isopropylidenediphenol; and  
2,5'-naphthalenediol.

The compositions of the present invention have  
excellent barrier properties and are readily processable  
15 at temperature ranges similar to PET. For example, such  
copolyesters can be injection molded into blood tubes,  
serum vials, laboratory bottles and the like at  
temperatures ranging from 250°C to 280°C. The  
copolyesters may also be formed into a variety of other  
20 articles such as containers, films and sheets by well  
known molding techniques such as injection molding,  
extrusion blow molding, extrusion molding and extrusion  
stretch molding. The heat deflection temperature and  
other elevated temperature properties of these  
25 copolyesters are at least equal to PET.

Moreover, the copolyesters of the present invention  
are clear. Clarity is essential in several  
applications, including blood tubes.

Small amounts of other ingredients may be added to  
30 the composition of the present invention to enhance  
their performance properties. For example, lubricants,  
stabilizers, antioxidants, ultraviolet light absorbing  
agents, mold release agents, metal deactivators,  
zeolites, fillers, and the like can be used so long as

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they do not hinder the present invention from accomplishing the objective.

#### Examples

5           The polyesters and copolyesters made in the  
Examples were extruded into thin film (nominally 10 mil)  
using a 3/4 inch Killion single screw extruder for use  
in permeability testing. These materials were also  
10           molded into tensile and flexural bars using a Toyo 90  
injection molding machine for use in mechanical property  
testing. Inherent viscosity (I.V.) was measured at 25°C  
using 0.5 gram of polyester per 100 ml of a solvent  
consisting of 60 wt% phenol and 40 wt%  
15           tetrachloroethane.

#### Examples 1-5

          Polyesters of terephthalic acid (T), 2,6-  
naphthalenedicarboxylic acid (N), succinic acid (S) and  
ethylene glycol (EG) as listed in Table 1 were prepared  
20           via polycondensation as follows. An excess of ethylene  
glycol was reacted with the listed acid components at  
about 200 to 220°C to remove water and methanol from the  
reaction mixture. The dimethylester of terephthalic  
acid and naphthalenedicarboxylic acid were used.  
25           Succinic acid was used in its acid form. Polymerization  
was conducted under reduced pressure at 285°C.

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Table 1

Ex. #	mole % T	mole % N	mole % S	mole % EG
1	100	—	—	100
2	50	50	—	100
3	85	—	15	100
4	75	20	5	100
5	60	25	15	100

The properties of the resultant polyesters were measures as follows: I.V.s (described above), oxygen transmission rates (ASTM D3985), toughness (tensile elongation to break — ASTM D638) and heat of deflection temperatures (HDTs — ASTM D648) and are shown in Table 2, below.

Table 2

Ex #	IV	Permeability (cc- mil/100in <sup>2</sup> - 24hr-atm)	% tensile elong. to break	HDT (C @ 66/264 psi)
1	0.56	12.6	95	70/63
2	0.61	6.9	8	86/73
3	0.55	7	257	56/51
4	0.66	8.3	258	73/65
5	0.72	6.6	86	63/60

These examples show that the gas barrier properties of PET can be improved significantly by the addition of the combination of succinic and 2,6-naphthalene-dicarboxylic acids. Surprisingly, these improvements can be obtained without the detrimental effects of lowered toughness and increased melt viscosity that is obtained in PET containing only 2,6-naphthalene-



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dicarboxylic acid (>50 mol %). Also, these improvements can be obtained without the detrimental effects of lowered HDT that is obtained in PET containing only succinic acid.

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CLAIMS

## WE CLAIM

1. A copolyester derived from acid components  
5 comprising 45 to 85 mol % terephthalic acid; 10 to  
40 mol % of at least one naphthalenedicarboxylic acid  
and 5 to 15 mol % of at least one aliphatic dicarboxylic  
acid having 2 to 8 carbon atoms and glycol component  
comprising ethylene glycol.
- 10 2. The copolyester of claim 1 wherein said acid  
components comprise 60 to 75 mole % terephthalic acid;  
20 to 30 mole % of at least one naphthalenedicarboxylic  
acid and 5 to 10 mole % of said at least one aliphatic  
dicarboxylic acid.
- 15 3. The copolyester of claim 1 wherein said  
naphthalenedicarboxylic acid is selected from the group  
consisting of 1,4-, 1,5-, 2,6-, 2,7-, 1,2-, 1,3-, 1,7-,  
1,8-, 2,3-, 2,4-, 2,5-, 2,8-naphthalenedicarboxylic acid  
and mixtures thereof.
- 20 4. The copolyester of claim 1 wherein said  
aliphatic dicarboxylic acid is selected from the group  
consisting of succinic acid, malonic acid, glutaric  
acid, adipic acid and 1,4-cyclohexanedicarboxylic acid.
- 25 5. The copolyester of claim 4 wherein said  
aliphatic dicarboxylic acid is selected from the group  
consisting of oxalic, succinic, glutaric and adipic  
acid.
6. The copolyester of claim 4 wherein said  
aliphatic dicarboxylic acid is succinic acid.
- 30 7. The copolyesters of claim 1 wherein said glycol  
component further comprises up to 20 mol % of at least  
one second glycol.
8. The copolyester of claim 7 wherein said second  
glycol is selected from the group consisting of  
35 propylene glycol; diethylene glycol; 1,2-propylene

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glycol; 2,4-dimethyl-2-ethyl-hexane-1,3-diol;  
2,2,4-trimethyl-1,3-pentanediol; 2,2-dimethyl-  
1,3-propanediol; 2-ethyl-2-butyl-1,3-propanediol;  
2,2-diethyl-1,3-propanediol; 2-methyl-2-propyl-  
5 1,3-propanediol; 2-ethyl-2-isobutyl-1,3-propanediol;  
1,3-butanediol; 1,4-butanediol; 1,5-pentanediol;  
1,6-hexanediol; 2,2,4-trimethyl-1,6-hexanediol,  
1,2-cyclohexanedimethanol; 1,3-cyclohexanedimethanol;  
2,2,4,4-tetramethyl-1,3-cyclobutanediol; 0-, m-, and  
10 p-xylylene diols; 4,4'-sulfonyldiphenol;  
4,4'-oxydiphenol; 4,4'-isopropylidenediphenol; and  
2,5'-naphthalenediol.

9. A formed article made from the copolyester of  
claims 1 through 8.

15 10. The article of claim 9 wherein said article is  
selected from the group consisting of blood tubes, serum  
vials, laboratory bottles, containers, films and  
sheeting.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 96/13759

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C08G63/189 C08G63/181

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	JP 08 156 211 A (KANEBO LTD) 18 June 1996 see the whole document & CHEMICAL ABSTRACTS, vol. 125, no. 16, 14 October 1996 Columbus, Ohio, US; abstract no. 197962, FUJITA, AKIHIDE: "Polyester sheets for thermoforming" see abstract	1-5,9,10
A	RESEARCH DISCLOSURE, vol. 283, no. 42, 1987, HAVANT GB, pages 685-690, XP000026949 ANONYMOUSLY: "Poly(alkylene 2,6-naphthalenedicarboxylate) copolymers containing aliphatic dicarboxylic acids" --- -/--	1-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>RESEARCH DISCLOSURE, vol. 369, no. 03, January 1995, HAVANT GB, page 2 XP000494395 ANONYMOUSLY: "Fabrication of blood tubes with improved shelf-life from selected copolyesters and terepolyesters by injection molding" cited in the application -----</p>	1-10

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 96/13759

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP-A-08156211	18-06-96	NONE	